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Patent

Attorney Docket No. BASF.10143WOUS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sebastian KOLTZENBURG et al.

International Application No.: PCT/EP2004/011797

Group Art Unit: Unassigned

International Filing Date: October 19, 2004

Examiner: Unassigned

Title: Nanoparticulate Active Substance Formulations

Confirmation No.: Unassigned

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. A copy of each of the documents cited and required by 37 C.F.R. § 1.98 is enclosed.

Some of the listed documents were cited in the International Search Report in a corresponding International application, a copy of which is enclosed.

This Information Disclosure Statement contains information which is not in the English language but was cited in a search report or other action by a foreign patent office in a counterpart foreign application. In accordance with MPEP § 609 IIIA(3), a version of the search report or action which indicates the degree of relevance found by the foreign office is being submitted herewith. English language abstracts or claims, or English language equivalent applications also have been provided according to MPEP § 609 IIIA(3), where applicable.

To assist the Examiner, the documents are listed on the attached form PTO/SB/08. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

The cited documents are being submitted within three (3) months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later. Since these documents are being filed within the time period set forth in 37 C.F.R. § 1.97(b), no fee or statement is required.

The Director is hereby authorized to charge any appropriate fees that may be required by this paper, and to credit any overpayment, to Deposit Account No. 50-3218.

Respectfully submitted,

HUTCHISON LAW GROUP PLLC

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I hereby certify that the application/correspondence attached hereto is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to the Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Jennie Snead
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Date of Signing: 04/24/2006

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Complete if Known

Int'l Appl. Number	PCT/EP2004/011797 2 1
Int'l Filing date	October 19, 2004 2 1 2 3
First Named Inventor	KOLTZENBURG, Sebastian
Group Art Unit	Unknown
Examiner Name	Unknown

Examiner Name	Unknown
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Attorney Docket No: BASF.10143WOUS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document
/J.H.A.A./	4 409 358	10/11/1983	Kraft et al.
/J.H.A.A./	4 528 185	07/09/1985	Kraft et al.
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/J.H.A.A./	4 512 969	04/23/1985	Chen
/J.H.A.A./	6 458 745	10/01/2002	Runge et al.

Examiner Initials*	Foreign Document No	Publication Date	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Abstract, Translation, English Language Equivalent or Search Report
	DE 101 51 392	05/08/2003	p. 3, l. 29	Abstract
/J.H.A.A./	EP 0 039 788	11/18/1981		Search Report English Language 4,409,358 and 4,528,185 provided
/J.H.A.A./	EP 0 275 796	07/27/1988	p. 2, l. 16	English Language 5,133,908 and 5,118,528 provided
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/J.H.A.A./	WO 02/082900	10/24/2002	p. 3, l. 23	
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/J.H.A.A./	WO 98/16105	04/23/1998	p. 2, l. 29	English Language 6,458,745 provided

Not	provided
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DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

• **EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

1AP20 Rec'd PCT/PTO 24 APR 2006

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Substitute for form 1449A/PTO
**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Use as many sheets as necessary)

Complete if Known

Int'l Appl. Number	PCT/EP2004/011797
Int'l Filing date	October 19, 2004
First Named Inventor	KOLTZENBURG, Sebastian
Group Art Unit	Unknown
Examiner Name	Unknown

Sheet 2 of 2

Attorney Docket No: BASF.10143WOUS

/J.H.A.A./	WO 01/18064	03/15/2001	Search Report
/J.H.A.A./	WO 04/000916	12/31/2003	Search Report
/J.H.A.A./	WO 03/039249	05/15/2003	

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Abstract, Translation, English Language Equivalent or Search Report
/J.H.A.A./	AUWETER, H., et al., "Fiber-Optical Quasi-elastic Light Scattering of Concentrated Dispersions", Journal of Colloid and Interface Science, June 1985, pp. 399-409, vol. 105, no. 2	
/J.H.A.A./	LILGE, D., et al., "Diffusion in concentrated dispersions: A study with fiber-optic quasi-elastic light scattering (FOQELS)", Colloid & Polymer Science, 1991, pp. 704-712, vol. 269	
/J.H.A.A./	WEISE, H., et al., "Single-mode fibers in fiber-optic quasielastic light scattering: A study of the dynamics of concentrated latex dispersions", J. Chem. Phys, 15 May 1991, p. 6429-6443, vol. 94, no. 10	

EXAMINER

/James Alstrum Acevedo/

DATE CONSIDERED 08/21/2010

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